

Regulatory and Audit Committee

Title:	Risk Management Strategy - Update
Date:	28 th January 2015
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Local members affected:	None

For press enquiries concerning this report, please contact the media office on 01296 382444

Summary

This report summarises the discussions at the Risk Management Group held on 9th December 2014 to review progress of the Council's Risk Management framework.

Recommendation

Members are asked to note the report.

Summary

1. The Risk Management Group (RMG) met on 9th December 2014. The meeting was attended by:
 - Zahir Mohammed (Chairman)
 - David Martin (Member of Regulatory and Audit Committee)
 - Tim Butcher (Member of Regulatory and Audit Committee)
 - Ian Dyson (Chief Internal Auditor)
 - Maggie Gibb (Risk and Insurance Manager)
 - Richard Schmidt (Assistant Director (Strategic Finance))
 - Andrew Capjon (Performance and Risk Officer)
 - Helen Wailing (Democratic Services Officer)
2. Martin Dickman (Director of Environment Service) attended the meeting to update members on the progress of the Energy from Waste (EfW) project, and to present the latest risk register.



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The EfW risks continue to be monitored effectively on a regular basis, and Martin presented members with a detailed explanation relating to the high level risks. A number of questions and challenges were presented by the members, and whilst there are some significant risks being faced, the RMG were assured that the governance and management of the contract was robust, and that the risks are being well managed at present. The RMG requested that they receive a further update in July 2015.

3. Nick Cave (Service Director Transformation) and Stephen Rawlinson (Transformation Programme Support Officer) attended the meeting to update the RMG on the Future Shape Programme and the management of risks within the programme. The RMG received a short report giving an overview of the key programme risks and progress against the detailed design phase which had been presented to the Future Shape Board on 26th November 2014. The seven key programme risks were discussed in detail and a number of actions identified which will be followed up by the Risk and Insurance Manager during the monthly Future Shape Delivery Meeting. The RMG requested that a further update be provided after the “go-live” date of 1st April 2015.
4. The Managing Directors of the Business Enterprise and Shared Services Business Unit and the Transport, Environment and Economy Business Unit attended the RMG to provide an update to members on the current position in their transition towards go-live, and how they are managing the risks of transition during this significant period of change.

Members were presented with details of the current governance arrangements in place to manage the transition, and both of the Business Units have their Boards established and are meeting on a regular basis to monitor progress.

The consultation process for the proposed structures was under way at the time of the meeting, and key appointments were expected to have been made by the end of January 2015.

The Managing Directors presented details of the next phases of implementation, both from now until go-live, and then during the mobilisation period.

The RMG requested that the Managing Directors attend again after six months to provide an update on how the risks are being managed within the Business Units post go-live.

5. The RMG received a brief update from the Risk and Insurance Manager on the work of the Risk Team since the last meeting.

The new Risk Management system is now fully operational with a number of training sessions delivered across services. All strategic, service and key contract risks are now recorded on the system and updated on a regular basis by the risk owners. This is monitored by the Risk Team.

The Strategic Risk Register was presented to LAG on 11 November 2014, and a Lead Member has been identified for each of the risks.

The Risk Team have continued to review the robustness of risk management processes across key projects, with particular focus on some of the new alternative delivery vehicles.

6. The date of the next meeting is Tuesday 3 February 2015, 9:00am. The following items are on the draft agenda:

Future Shape Programme
TfB Risk Management Update
HQ Transition Plans

Children Social Care Update

Future meetings are planned for the following dates:

Friday 13 March 2015, 9:00am

Friday 17 April 2015, 9:00am

Friday 15 May 2015, 9:00am

Background Papers

Risk Management Group Minutes
